

# CONFLICT OF INTEREST DISCLOSURE STATEMENT

31 July 2025

Austral Capital Limited (trading as PE Projects)

# **Email**

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#### Website

www.pe-projects.com

# Conflict of Interest Disclosure Statement

Effective Date: 31 July 2025

## 1. Our Commitment to Transparency

At PE Projects, the trading brand of Austral Capital Limited ("PE Projects", "Austral"), we are committed to maintaining the highest standards of integrity, fairness, and transparency in our relationships with clients, partners, and stakeholders.

We proactively identify, manage, and disclose any **conflicts of interest** that may arise in the course of delivering our services.

#### 2. What is a Conflict of Interest?

A conflict of interest exists when the duty to act in a client's best interest could be **compromised** by a competing interest—whether financial, professional, or personal.

Conflicts may be: - **Actual** (currently affecting a relationship or decision) - **Potential** (may arise in future) - **Perceived** (reasonably viewed as influencing objectivity)

We treat all three types seriously.

## 3. Examples of Potential Conflicts

PE Projects may encounter the following situations: - Directors, shareholders, or employees holding interests in **service providers** or **investment counterparties** - Commercial benefits or fee-sharing with third-party entities. Referrals originating from **educational platforms** or affiliates that may influence onboarding

In each case, we are committed to disclosing relevant details and ensuring the client's interests come first.

# 4. How We Manage Conflicts

To manage potential conflicts, we: - Maintain a **Conflicts of Interest Register** reviewed by senior compliance staff - Require **staff to declare** potential conflicts and avoid conflicted decision-making roles - Implement **commercial firewalls** between educational, onboarding, and trading functions - Disclose material conflicts to clients **clearly and in advance** - Train all staff to recognise and escalate potential conflicts.

# 5. Related Entities and Commercial Relationships

We work with partners that contribute to our platform and investment services. These include:

1) **GS Private Equity GmbH** (Germany): Provides the platform technology and onboarding systems as well as the general financial education that may indirectly refer clients to PE Projects.



2) We work with various providers to secure exposure in the underlying. We monitor all relationships to ensure they remain commercially fair, fully disclosed, and compliant with our obligations and will continuously add providers for various services.

# 6. Your Rights as a Client

As a PE Projects client, you have the right to: - Be informed of any conflict that may influence our service to you - Ask for clarification on how a disclosed conflict is managed - Request a copy of our internal **Conflict of Interest Policy** 

If you have any concerns about how your interests are being handled:

**Email:** compliance@pe-projects.com **Website:** www.pe-projects.com

This statement is reviewed regularly and updated to reflect current structures and practices. The most recent version is available on our website under the Legal Documents section.